

Form PTO-1449

## INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

(Use several sheets if necessary)

MAY 23 2002

Docket Number (Optional)  
NVSS-P01-001Application Number  
09/914,944Applicant  
Mears et al.Filing Date  
September 5, 2001Group Art Unit  
2874

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PNP	AA	6,322,938 B1	11/27/01	Cohn	430	8

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

PNP	AB	Cohn, R. W. et al. Nanolithography Considerations for Multi-Passband Grating Filters. <i>Optical Review</i> 6, 345-354 (1999).
PNP	AC	DeChiaro, L. F. Spectral Width Reduction in Multilongitudinal Mode Lasers by Spatial Loss Profiling. <i>J. Lightwave Technology</i> 9, 975-986 (Aug. 1991).
PNP	AD	Erni, D. et al. Evolutionary optimization of non-periodic coupled-cavity semiconductor laser diodes. <i>Optical and Quantum Electronics</i> 30, 287-303 (1998).
PNP	AE	Kozlowski, D. A. et al. Time-Domain Modeling of Mode Suppression in 1.3- $\mu$ m Fabry-Perot Lasers. <i>IEEE Photonics Technology Letters</i> 8, 755-757 (June 1996).
PNP	AF	Tsang, H. K. et al. Etched Cavity InGaAsP/InP Waveguide Fabry-Perot Filter Tunable by Current Injection. <i>J. Lightwave Technology</i> 17, 1890-1895 (October 1999).

EXAMINER

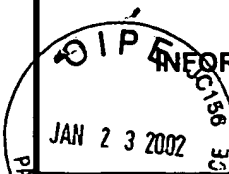
Phan T. H. Palma

DATE CONSIDERED

04/04/03

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<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. 504270-3	SERIAL NO. 09/914,944
	FILING 09/05/01 Nov 2, 2001	GROUP 2874

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PNP	5,245,474	9/93	Chabassier et al.	359	578	
PNP	5,388,173	2/95	Glenn	385	87	
PNP	5,666,224	9/97	Wood et al.	359	573	
PNP	5,742,433	4/98	Shiono et al.	359	575	
PNP	5,867,304	2/99	Galvanauskas et al.	359	333	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
PNP	EP 0712012A	09.11.1994	EPO				
PNP	EP 0 335 176A	15.03.89	EPO				
PNP	WO 93/14424	11.01.93	WO				
PNP	WO 98/32187	08.01.98	WO				

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PNP		Chang, C.P. et al: "Optimization of a thin-film multilayer design by use of the generalized simulated-annealing method", Optics Letters, 15, no. 11, pages 595-597 (1 June 1990)
		Dobrowolski, J.A.: "Computer design of optical coatings", Thin Solid Films, 163, pages 97-110 (1988).
PNP		G. Sarlet et al "Optimization of Multiple Exposure Gratings for Widely Tunable Lasers", IEEE Photonics Technology Letters, 11, pages 21-23 (1999).
		International Search Report listing references cited

EXAMINER <i>Phan T. H. Palmer</i>	DATE CONSIDERED 04/04/03
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